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NEBRASKA REAL ESTATE COMMISSION
TRUST ACCOUNT EXAMINATION
EVALUATION

SECOND QUARTER 2014

Overall

Number Sent 60
Responses Received 28

Directions: Please evaluate the following items by circling the appropriate yes/no response. Comments to clarify responses are strongly encouraged.

1. Was the trust account examination conducted in a professional manner?

Yes 28 No 0 No Answer 0

Comments: always; very courteous; examiner is always on time, very organized in paperwork and presentation-orderly plan for the review-never an issue; all of the examiners we have worked with have been professional; very professional, prompt in letting me know what was needed and moved along smoothly;

2. Was the trust account examination completed in a timely manner?

Yes 28 No 0 No Answer 0

Comments: works efficiently; yes-examiner did a great job-each audit there are items that help us get better-no delays on examiner's part; always appreciate their flexibility;

3. Was the trust account examination conducted with a minimal number of relevant interruptions to your business activities or personnel?

Yes 28 No 0 No Answer 0

Comments: my files are kept at home and I worried about examiner feeling uncomfortable, but examiner seemed at ease and conducted it professionally; yes-no issues-examiner has a great attitude and was never a interruption; none whatsoever;

4. Was the examiner courteous?

Yes 28 No 0 No Answer 0

Comments: always helpful with questions; always; very much so to myself and other staff members; very polite; I always enjoy examiner's visit; very courteous;

5. Was the examiner's appearance professional?

Yes 28 No 0 No Answer 0

Comments: yes-no issues-business wear;

6. Was the examiner knowledgeable and able to answer your questions?

Yes 28 No 0 No Answer 0

Comments: I felt like it was ok to ask questions and examiner did not make me feel as if I ought to have know the answers; yes-examiner has done many audits for our firm and always is very knowledgeable-examiners experience helps us; very;

7. At the conclusion of the examination, did the examiner review the irregularities with you in such a manner that you completely understood?

Yes 24 No 1 No Answer 3

Comments: but, I don't think we had any; not sure there was any,...; no irregularities; I don't think there were any problems-new company and not many records to audit yet- so I couldn't really say; yes very detailed-I understand what was done/completed/needed, etc-no issues; I enjoy working with examiner; great working with examiner-keeps us in compliance; very good at explaining matters and clarifying questions; it took some questions to find out what examiner was getting at & examiner didn't have the answers as to how to accomplish examiners requests; N/A; very helpful;

8. Do you have comments, suggestions, or recommendations on how the trust account examination process, or a particular area of the trust account examination process, could be improved?

Yes 4 No 21 No Answer 3

Comments: examiner does a great job; examiner is a great auditor-and their experience is a great help; I feel some requirements by the commission are unreasonable & not all accounting systems can meet these requirements causing a lot of extra busy work just to make it fast and easy for auditor;

ADDITIONAL COMMENTS:

good job-thanks for your hard-smart work; examiner was very helpful; the examiner was very professional and is a good ambassador for the commission; examiner is easy to talk with while maintaining professional standards; we always enjoy examiner-examiner is so professional and doesn't waste time; when I started my company they were very good at the Commission about answering questions and processing things quickly; examiner was very courteous and professional-asked only relevant questions and was extremely helpful with explanations; examiner was very helpful; we appreciate the examiners we have dealt with the last few years-their intent has always been to help us improve as a company in our record keeping and they have always been professional & courteous; examiner always does a great job-in and out quickly and works very professionally; I am very pleased with the exam process-it is fast, non-disruptive and professionally handled-I have few transactions so not much to review; examiner handles self very professional; it was my first experience as the broker and examiner was extremely professional and helpful;